

## FULLERTON FUND TRANSACTION FORM – FOR CORPORATE

Please read the attached Important Terms & Conditions (“T&Cs”) before completing this form. Please submit this form to the Trustee (as administrator):

**HSBC Institutional Trust Services (Singapore) Limited**  
**20 Pasir Panjang Road (East Lobby), #12-21 Mapletree Business City, Singapore 117439**  
**Attention: Investor Services Department**  
**Facsimile: +65 6424 8033**

MAIN APPLICANT	
Full Name <i>(as per constitutive document)</i>	: _____
Registration No.	: _____

<input type="checkbox"/> SUBSCRIPTION REQUEST	<input type="checkbox"/> REALISATION REQUEST			
Name of Sub-Fund	Class	No. of Units	OR	Amount & Currency

SWITCHING REQUEST					
Switch	Name of Sub-Fund	Class	No. of Units	OR	Amount & Currency
From					
To					

DIVIDEND INSTRUCTION FOR SUBSCRIPTION	
<input type="checkbox"/> Re-invest in further Units	<input type="checkbox"/> Pay in cash
<i>Note: Where no option on dividend payout is selected, all dividends will be automatically reinvested in new Units of the same Share Class of the relevant Sub-Fund.</i>	

SETTLEMENT MODE	
<b>SUBSCRIPTION</b> <input type="checkbox"/> Cheque / Cashier's Order Name of Bank: _____ Cheque No. : _____ <input type="checkbox"/> Telegraphic Transfer	<b>REALISATION</b> <i>Note: No third party payments will be made and proceeds remitted will be net of all applicable charges</i> <input type="checkbox"/> Issue a cheque and mail to us at the mailing address shown above <input type="checkbox"/> Credit into our bank account: Name of Bank : _____ Account Name : _____ Account No. : _____

DECLARATIONS
<ol style="list-style-type: none"> <li>1. We acknowledge that we have received, read, understood and accepted the terms of the latest prospectus of Fullerton Fund (the “Fund”) and the relevant Annex of the Sub-Funds, as the same may be amended, supplemented, modified, varied or replaced from time to time (collectively known as “Prospectus”), including the T&amp;Cs attached to this form, and irrevocably agree to be bound by them.</li> <li>2. We irrevocably undertake to observe and agree to be bound by the terms and conditions of the latest Trust Deed and/or any supplemental deed (collectively known as “Trust Deed”) constituting the Fund (as may be modified from time to time).</li> <li>3. We declare that we are not US Persons as defined in the Prospectus, and that the Units of the relevant Sub-Funds are not being acquired or held beneficially by or for a US Person or in violation of any applicable laws. We did not and will not engage in any activity relating to the sale, acquisition or transfer of the Units of the Sub-Fund in the United States, its territories or possessions.</li> <li>4. We hereby declare that the information contained in this form is true and correct and undertake to inform the Managers and/or their appointed agents immediately of any change in our details contained herein.</li> <li>5. We acknowledge that any allotment, issuance, sale, purchase or realisation of Units by the Managers will be entered into or conducted by the Managers as agent for and on behalf of the Fund (and not as principal), and that all transactions involving the allotment, issuance, sale, purchase or redemption of Units by the Managers are intended to be entered into or conducted by the Managers as agent for and on behalf of the Fund (and not as principal).</li> </ol>

Applicant's Authorised Signatories' Name(s)

Applicant's Authorised Signatories' Signature(s)

Company Stamp

Date

## **IMPORTANT TERMS AND CONDITIONS (“T&Cs”)**

### **GENERAL**

1. Please complete all the sections on this Transaction Form and have it properly executed. Unless otherwise stated in this form, please submit the completed Transaction Form by **5.00 pm Singapore time on any Dealing Day** to the Managers, Trustee and/or their respective appointed agents. Neither the Managers nor the Trustee shall accept any responsibility for any loss arising from non-receipt of any Transaction Form sent by facsimile.
2. The Managers or their appointed agents shall be notified immediately, via facsimile followed by original via mail, of any change of the Applicant's details in this form or supporting documents.
3. Information on the relevant Sub-Funds contained in the Prospectus and Trust Deed shall prevail over any information contained in this form. All other information or representations made must be regarded as unauthorised and must not be relied upon. The Funds and the Manager(s) agree that these T&Cs may not be amended without the prior written consent of the Applicant, provided that the Funds and the Manager(s) may amend these T&Cs with retrospective or prospective effect to the extent that such amendment is required: (i) to reflect changes to the service providers to any of the Sub-Funds; (ii) to remove obsolete provisions, clarify ambiguities or correct errors; or (iii) for compliance with laws and regulations applicable to the Sub-Funds and/or the Manager(s), and the Manager(s) agree to notify the Applicant at least 7 calendar days prior to effecting such amendment. Where any amendment adversely or materially impacts the rights, obligations or interests of the Applicant (as may be reasonably determined by the Manager(s) in their sole discretion), the notice to the Applicant shall, to the extent applicable, specify any rights or options available to the Applicant arising from any such amendment.
4. All capitalised terms used in this form unless otherwise defined shall have the meaning ascribed to them in the Prospectus.
5. The Managers, Trustee and/or their respective appointed agents shall be entitled in their sole and absolute discretion at any time after receipt of this form to deem an incomplete or improperly completed form, or the Applicant failing to provide true and accurate information and documents as required for the processing of this application in accordance with the Prospectus, applicable laws and regulations, to be an invalid request. The Managers and Trustee shall not be liable to the Applicant for any losses suffered by the Applicant as a result any delay or failure by the Applicant to submit a duly completed form, and requisite documents.
6. Transactions will only be accepted after the account has been opened.
7. The Managers and/or the Trustee and any of their affiliates, subsidiaries, associates, agents and/or delegates may collect, use and disclose to each other, to any affiliate, to any other service provider to the Fund and to any regulatory body in any applicable jurisdiction, copies of this form and any information including personal data (if any) concerning the Applicant and the Applicant's individual representatives in their respective possession, whether provided by the Applicant to the relevant Sub-Fund, the Managers, the Trustee and any of their affiliates, subsidiaries, associates, agents and/or delegates, including but not limited to the purposes of providing services to the Fund, performing their respective legal and regulatory obligations and conducting financial crime risk management and other activities and such other purposes set out in the Trustee's data protection policy and data privacy statement (as each may be amended from time to time) which are available at <http://www.hsbc.com.sg/1/2/miscellaneous/privacy-and-security>. Any such disclosure shall not be treated as a breach of any restriction upon the disclosure of information imposed on any such person by law or otherwise.

### **RECOMMENDATION AND/OR ADVICE**

8. The Applicant is aware that the transaction request is not tantamount to receiving any financial advice or recommendation from the Managers and/or their appointed agents' representative(s); and that any factual information was given generally without taking into consideration the Applicant's investment objectives, financial situation and particular needs. The Applicant accepts that it is the Applicant's sole responsibility to ensure the suitability of the relevant Sub-Fund for the Applicant's own needs, and given that the Applicant has chosen not to receive any recommendation and/or advice from the Managers and/or their representatives, the Applicant will therefore not be able to rely on section 27 of the Singapore Financial Advisers Act to file any civil claim in the event we allege to have suffered any loss.

### **SUBSCRIPTION**

9. Please refer to the Prospectus for the minimum initial and/or subsequent purchase amounts of the relevant Sub-Fund or Class of a Sub-Fund.
10. Upon receipt of the completed Transaction Form and the full subscription amount, Units of the Sub-Fund will be issued in the name of the Applicant at the relevant dealing day's offer price. Any and all transactions involving the allotment, issuance or sale of Units by the Managers will (and are intended to) be entered into or conducted by the Managers as agent for and on behalf of the Fund (and not as principal).
11. The Managers (acting as agent for and on behalf of the Fund) reserve the right to deem any transaction as cancelled and/or to recover from the Applicant any loss, expenses, claims, costs or charges which may be incurred by the Fund, as a result of the Applicant's failure to make full payment for value in accordance with the transaction, including cases due to non-availability or insufficiency of funds in the Applicant's Supplementary Retirement Scheme (“SRS”) Account or other reasons for rejection by the SRS Operator.
12. The Managers (acting as agent for and on behalf of the Fund) shall have the right to allot fewer Units than the number applied for or to refuse any subscriptions without giving any reason.
13. Subscription payments
  - (a) The Applicant must ensure that sufficient funds are remitted for subscription payment before the Settlement Deadline (indicated in the table below) or by any other deadline as advised by the Managers, Trustee or their respective appointed agents.
  - (b) For payment of SGD via cheque/cashier's order, please issue the cheque/cashier's order in favour of the relevant “Account Name” per the table below and submit the cheque/cashier's order and this form to the Trustee **by 2 p.m. Singapore Time** on the day the Applicant is submitting the Transaction Form.
  - (c) For payments with SRS Account monies, the Applicant must have an existing SRS Account with a SRS Operator Bank. The Applicant shall only be entitled to the Units after the Managers have received for value such SRS monies equal to the value of the Units purchased from the SRS Operator Bank. Unitholders will receive notification of their holdings through a quarterly statement issued by their respective SRS Operator Bank.

Sub-Fund	Class	Ccy	Intermediary Bank	Beneficiary Bank (SWIFT Code)	Account Name	Account	Settlement Deadline
Fullerton Asia Income Return	A / C / D / E	SGD	NA	HSBC, Singapore (HSBCSGSG)	HTSG A/C FTRF	141301994014	Dealing Day + 3 Business Days (4pm SGT)
Fullerton Asia Income Return	B	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)	HTSG-FULLERTON ASIA INCOME RETURN	848344966201	Dealing Day + 3 Business Days
Fullerton Wise Income	A / R / R1	SGD	NA	HSBC, Singapore (HSBCSGSG)	HTSG A/C FMDF	141301994027	Dealing Day + 3 Business Days (4pm SGT)
Fullerton SGD Cash Fund	A / B / C / D / E / G / R	SGD	NA	HSBC, Singapore (HSBCSGSG)	HTSG A/C FSCF	141301994007	Dealing Day + 1 Business Day (4pm SGT)
Fullerton SGD Heritage Balanced	A / B	SGD	NA	HSBC, Singapore (HSBCSGSG)	HTSG A/C FSHB	141301994021	Dealing Day + 3 Business Days (4pm SGT)
Fullerton SGD Heritage	B1 (US\$-Hedged)/ B2 (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking	HTSG-FULLERTON SGD	741147748201	Dealing Day + 3 Business

Balanced				Corporation Ltd (HSBCHKHHGCC)		HERITAGE BALANCE		Days
Fullerton SGD Heritage Growth	A / B	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FSHG	141301994019	Dealing Day + 3 Business Days (4pm SGT)
Fullerton SGD Heritage Growth	B1 (US\$-Hedged)/ B2 (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON SGD HERITAGE GROWTH	741147722201	Dealing Day + 3 Business Days
Fullerton SGD Heritage Income	A / B / C	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FSHI	141301994020	Dealing Day + 3 Business Days (4pm SGT)
Fullerton SGD Heritage Income	B1 (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON SGD HERITAGE INCOME	741147771201	Dealing Day + 3 Business Days
Fullerton SGD Income Fund	A / B / C / R	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FSIF	047582739002	Dealing Day + 3 Business Days (4pm SGT)
Fullerton SGD Income Fund	D (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FFMC SGD INCOME FUND	848205159201	Dealing Day + 3 Business Days
Fullerton Short Term Interest Rate Fund	A / B / B1 / C / C1 / R / R1	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FSTI	141301994001	Dealing Day + 3 Business Days (4pm SGT)
Fullerton Short Term Interest Rate Fund	D (US\$-Hedged) / D1 (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FFMC SHORT TERM INT RATE FUND	848152336201	Dealing Day + 3 Business Days
Fullerton Short Term Interest Rate Fund	D2 (AUD-Hedged)	AUD	HSBC Bank Australia Ltd(HKBAAU2SSYD)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FFMC SHORT TERM INT RATE FUND	848152336203	Dealing Day + 3 Business Days
Fullerton Total Return Multi-Asset Advantage	A1 / B1	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON TOTAL RET M-A ADV	848344339201	Dealing Day + 3 Business Days
Fullerton Total Return Multi-Asset Advantage	A / B	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FDSA	141301994012	Dealing Day + 3 Business Days (4pm SGT)
Fullerton Total Return Multi-Asset Income	A / B / C	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG-FF-F TOTAL RTN M-A IN	041668179001	Dealing Day + 3 Business Days (4pm SGT)
Fullerton Total Return Multi-Asset Income	A1 / B1 / C1	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FF-FULLERTON TOTAL RE M-A INC	741408231201	Dealing Day + 3 Business Days
Fullerton USD Cash Fund	A / B / IS	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD CASH FUND	741294565201	Dealing Day + 1 Business Day
Fullerton USD Income Fund	A (S\$-Hedged) / R (S\$-Hedged)	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG A/C FUIF	141301994003	Dealing Day + 3 Business Days (4pm SGT)
Fullerton USD Income Fund	B / F / G / R	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD INCOME FUND	848814422201	Dealing Day + 3 Business Days
Fullerton USD Income Fund	C (AUD-Hedged)	AUD	HSBC Bank Australia Ltd (HKBAAU2SSYD)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD INCOME FUND	848814422203	Dealing Day + 3 Business Days
Fullerton USD Income Fund	D (EUR-Hedged)	EUR	HSBC Bank France (CCFRFRPP)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD INCOME FUND	848814422220	Dealing Day + 3 Business Days

				Account number: 00100004057				
Fullerton USD Income Fund	E (GBP-Hedged)	GBP	HSBC Bank PLC, London (MIDLGB22)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD INCOME FUND	848814422202	Dealing Day + 3 Business Days
Fullerton USD Income Fund	H (HKD-Hedged)	HKD	NA	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FULLERTON USD INCOME FUND	848814422001	Dealing Day + 3 Business Days
Fullerton SGD Savers Fund	A / A1 / A2 / B / B1	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG-FF-F SGD SAVERS FUND	052-680782-004	Dealing Day + 3 Business Days
Fullerton SGD Savers Fund	C (US\$-Hedged) / C1 (US\$-Hedged) / C2 (US\$-Hedged)	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FF-F SGD SAVERS FD	741-579056-201	Dealing Day + 3 Business Days
Fullerton SGD Liquidity Fund	A / B / R	SGD	NA	HSBC, Singapore (HSBCSGSG)		HTSG-FF-F SGD LIQ FD	141301994005	Dealing Day (4pm SGT)
Fullerton USD Savers Fund	A	USD	HSBC Bank, USA NA (MRMDUS33)	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FF-F USD SAVERS FD	741711014201	Dealing Day + 3 Business Days
Fullerton USD Savers Fund	B (RMB-Hedged)	RMB	NA	The Hong Kong and Shanghai Banking Corporation Ltd (HSBCHKHHGCC)		HTSG-FF-F USD SAVERS FD	741711014209	Dealing Day + 3 Business Days

Note: SRS subscription is available for all classes with the SGD class currency (except for Fullerton SGD Cash Fund Class B and Fullerton SGD Income Fund Class C).

#### **REALISATION**

- Any and all transactions involving the purchase or realisation of Units by the Manager will (and are intended to) be entered into or conducted by the Manager as agent for and on behalf of the Fund (and not as principal). Subject to the Prospectus, the Managers (acting as agent for and on behalf of the Fund) may compulsorily redeem Units of a Unitholder.
- A realisation request accepted by the Managers (acting as agent for and on behalf of the Fund) is irrevocable by the Unitholder except with the consent of the Managers.
- Unitholders may realise their Units in full or in part. However, a partial realisation of Units (a) must meet the minimum realisation amount; and (b) is subject to the Unitholder maintaining the minimum holding of Units as prescribed in the Prospectus or Trust Deed.
- Realisation proceeds will be sent to the Unitholder in accordance with the Code on Collective Investment Schemes.

#### **FAX OR E-MAIL AUTHORITY/INDEMNITY**

- The Applicant is aware that e-mail/facsimile is not a secure or error-free medium of communication and that there are possible risks involved in connection with the transmission of information via e-mail/facsimile. The Applicant further acknowledges the possible risks involved in connection with the giving of any e-mail/facsimile instruction to the Managers and/or the Trustee, including but not limited to, the Managers and/or the Trustee not being in a position to verify the Applicant's signature(s) on such e-mail/facsimile instruction, some third party forwarding/sending e-mail/facsimile instructions purportedly with respect to such Applicant's account, and the Managers and/or the Trustee not being able to distinguish that such e-mail/facsimile instructions have not come from the Applicant. The Managers and/or the Trustee are irrevocably and unconditionally authorized to act on any e-mail/facsimile instructions received from any Applicant, which they in their sole discretion reasonably believed to have emanated from such Applicant, and the Managers and the Trustee shall be fully indemnified, and held harmless, at all times against all actions, proceedings, claims, loss, damage, costs and expenses (including attorneys' fees, taxes and penalties) including consequential losses/damages which may be brought against them or suffered or incurred by them and which shall have arisen either directly or indirectly out of or in connection with them accepting such e-mail/facsimile instructions.
- This fax or e-mail authority shall remain in force until notice in writing of its termination is received by the Managers and/or the Trustee, and shall continue to apply to all dealings and transactions between the Managers and/or the Trustee and the Applicant in relation to all Sub-Funds invested into by the Applicant, notwithstanding that it shall later be shown that the instructions were not signed or sent by the relevant Applicant. This fax or e-mail authority does not apply to notifications of change of name or transfers, and the use of powers of attorney where original documentation must be sent by post to the Managers and/or the Trustee.

#### **GOVERNING LAW & INDEMNITY**

- This form is governed by and construed in accordance with the laws of Singapore and the Applicant agrees to submit to the non-exclusive jurisdiction of the courts of Singapore.
- As a result of this application the Applicant will not, and neither will any associate or affiliate of the Applicant, breach any applicable laws, regulations or requirements of any country or governmental authority nor any terms of any contracts to which the Applicant or the Applicant's associates or affiliates are a party.
- The Applicant shall indemnify upon first demand the Managers and/or the Trustee against any actions, proceedings, claims, loss, damage, costs and expenses (including attorneys' fees, taxes and penalties), including consequential loss and/or damage, which may be brought against them or suffered or incurred by them and which shall have arisen either directly or indirectly out of or in connection to a breach by the Applicant of these T&Cs, or out of the Managers and the Trustee relying on, accepting or failing to act on any instruction or declaration or information given by or on behalf of the Applicant unless due to the wilful default, fraud or gross negligence of the Managers or the Trustee.
- If any provision or clause of these T&Cs is deemed to be, or otherwise becomes, void or unenforceable in whole or in part for any reason, such unenforceability or invalidity shall not affect the validity of the remaining T&Cs.
- The Applicant agrees that the Managers, Trustee and/or their respective appointed agents may record telephone conversations between (i) the Applicant and (ii) the Managers, Trustee and/or their respective appointed agents and any such recording may be submitted in evidence in court or in any legal proceedings, with the same value as written evidence.

#### **TAX AND RELATED DECLARATIONS AND LEGISLATION**

- The Applicant agrees to provide to the Managers, Trustee and/or their respective appointed agents on an on-going basis any form, document, tax status, self-certifications, identity or residency information or any other information (including, without limitation, an applicable US Internal Revenue Service Form W-8 / W-9) for the Fund to comply with any tax reporting or other related reporting or due diligence requirements under applicable law, and to allow the Managers, Trustee and their respective appointed agents (on behalf of the Fund) to make any payment to the Applicant without any deduction or withholding for or on account of any tax, and to comply with any reporting or other requirements under applicable law, including but not limited to pursuant to sections 1471 through 1474 of the US Internal Revenue Code of 1986, as amended (the "Code") and any fiscal or regulatory legislation, rules or practices adopted pursuant to any intergovernmental agreement entered into in connection with such sections of the Code ("FATCA"). Any such form, document or information shall be provided promptly upon reasonable demand by the Managers, Trustee and/or their respective appointed agents and prior to any such form, document or information becoming obsolete, unreliable or incorrect. Each Applicant further

agrees to notify the Managers, Trustee and/or their respective appointed agents of any change to any such form, document, tax status, self-certifications, identity or residency information or any other information previously provided to the Managers, Trustee and/or their appointed agents.

26. The Applicant agrees that each of the Managers, Trustee and/or their respective appointed agents are permitted to disclose any information with respect to the Applicant to any government or tax authority if so required, and the Applicant irrevocably waives, to the extent possible, any applicable law that, but for a waiver, would prevent any such disclosure.
27. Notwithstanding any other provision of this form to the contrary, the Applicant agrees that all payments made by, or on behalf of, the Fund to, or for the benefit of, the Applicant shall be made net of any taxes imposed or collected pursuant to FATCA or any other applicable law and no additional amounts shall be payable in respect of any such taxes. The Applicant acknowledges and agrees that the Managers and/or Trustee (on behalf of the Fund) may be required to withhold parts of certain payments as required by local laws, regulations or contractual obligations with other jurisdiction's tax authorities, such as the US Internal Revenue Service.