

**FULLERTON LUX FUNDS - TRANSFER FORM**

To: BNP Paribas ("BNP")  
 60 Avenue J.F. Kennedy, L-2085 Luxembourg, Grand Duchy of Luxembourg  
 Attention: Transfer Agency

Fax: +352 2696 9747

*This form may be faxed to BNP. However, please ensure that the original form is mailed to BNP. The transfer will only be processed upon receipt by BNP of the original form.*

**FUND TO BE TRANSFERRED**

Name of Fund	Share class	Share currency	Acc/ Dist	ISIN	No. of Shares

**TRANSFEROR'S PARTICULARS**
**MAIN APPLICANT**

Full Name : \_\_\_\_\_  
 Registration/ ID/Passport No. : \_\_\_\_\_ Account No. : \_\_\_\_\_

**JOINT APPLICANT (if any)**

Full Name : \_\_\_\_\_  
 Registration/ ID/Passport No. : \_\_\_\_\_

**TRANSFeree'S PARTICULARS**
**FOR CORPORATE**

Full Name : \_\_\_\_\_  
(as per constitutive document) Registration No : \_\_\_\_\_  
 Country of incorporation : \_\_\_\_\_ Date of incorporation : \_\_\_\_\_  
 Registered or Business Address \* : \_\_\_\_\_  
 Nature of business : \_\_\_\_\_ Tax Residency : \_\_\_\_\_

**Name and contact details of main contact person**

Name : \_\_\_\_\_  
 Contact details : (Home) \_\_\_\_\_ (Mobile/ Office) \_\_\_\_\_ Email (mandatory): \_\_\_\_\_

**FOR INDIVIDUAL - MAIN APPLICANT**

Full Name : \_\_\_\_\_  
(Dr/Mr/Mrs/Ms)  
(as per ID/ Passport) ID/Passport No : \_\_\_\_\_  
 Nationality : \_\_\_\_\_ Date of birth : \_\_\_\_\_  
 Contact details : (Home) \_\_\_\_\_ (Mobile/ Office) \_\_\_\_\_ Email (mandatory): \_\_\_\_\_  
 Residential Address\* : \_\_\_\_\_  
 Occupation : \_\_\_\_\_ Tax Residency : \_\_\_\_\_

**FOR INDIVIDUAL - JOINT APPLICANT (if any)**

Full Name : \_\_\_\_\_  
(Dr/Mr/Mrs/Ms)  
(as per ID/ Passport) ID/Passport No : \_\_\_\_\_  
 Nationality : \_\_\_\_\_ Date of birth : \_\_\_\_\_  
 Contact details : (Home) \_\_\_\_\_ (Mobile/ Office) \_\_\_\_\_ Email (mandatory): \_\_\_\_\_  
 Residential Address\* : \_\_\_\_\_  
 Occupation : \_\_\_\_\_ Tax Residency : \_\_\_\_\_  
 Signing Instructions : ☐ Both signatories (Joint-All) ☐ Either signatory (Joint-Alternate) Is the Joint Applicant a minor? (i.e. below age 21) Yes / No

\* **Note:** Post-Office Box ("PO Box") address is not permitted and the transaction will not be processed if PO Box address is indicated.

## DECLARATIONS

1. The named "Transferor" hereby transfers to the named "Transferee" the share of the Fund specified above subject to the conditions on which the said shares are now held by the Transferor, and the Transferee hereby agrees to accept and hold the said shares subject to the conditions aforesaid and subject to the conditions of the latest Prospectus of the Fullerton Lux Funds ("the Company"), which may be amended from time to time.
2. **Key Investor Information Document ("KIID")**  
**For Corporates**
  - a. **For direct investor**
    - i. We consent to being provided with the KIID on this website, <http://www.fullertonfund.com>, which is an appropriate medium in the context of the business between us and the Company;
    - ii. We further acknowledge and confirm that latest KIID will not be sent nor addressed to me personally; and that we will access, read and understand the latest relevant version of the KIID, available on the above-mentioned website **on every occasion prior to placing any investment or switch transactions** in the relevant Fund(s); and
    - iii. We further confirm that we have provided a valid email address which can be used for communication by the Manager and/or its appointed agents. By providing the valid email address, we specifically acknowledge that we have regular access to the Internet and specifically consent to the KIIDs being provided to us by us accessing the website.
  - b. **For intermediary**
    - i. We are acting as an intermediary and not investing on our own behalf and/or we are proposing to our underlying clients to invest in the relevant Fund (even, for example, by simply arranging subscriptions or switch transactions into the relevant Fund);
    - ii. We will ensure that we comply with all applicable rules and regulations in relation to the provision of a KIID to our investors; and
    - iii. We consent to being provided with the KIID on this website, <http://www.fullertonfund.com>, which is an appropriate medium in the context of the business between us and the Company;
    - iv. We further confirm that we have provided a valid email address which can be used for communication by the Manager and/or its appointed agents. By providing the valid email address, we specifically acknowledge that we have regular access to the Internet and specifically consent to the KIIDs being provided to us by us accessing the website.
- For Individuals**
  - a. I/we consent to being provided with the KIID on this website, <http://www.fullertonfund.com>, which is an appropriate medium in the context of the business between me/us and the Company;
  - b. I/we further acknowledge and confirm that latest KIID will not be sent nor addressed to me personally; and that I/we will access, read and understand the latest relevant version of the KIID, available on the above-mentioned website on every occasion prior to placing any investment or switch transactions in the relevant Fund(s); and
  - c. I/we further confirm that I/we have provided a valid email address which can be used for communication by the Manager and/or its appointed agents. By providing the valid email address, I/we specifically acknowledge that I/we have regular access to the Internet and specifically consent to the KIIDs being provided to me/us by me/us accessing the website.

TRANSFEROR'S SIGNATURE		TRANSFEEE'S SIGNATURE	
<div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="display: flex; justify-content: space-between;"> <span>Main Applicant/ Authorised Signatories</span> <span>Joint Applicant (if applicable)</span> </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="display: flex; justify-content: space-between;"> <span>Company Stamp (if applicable)</span> <span></span> </div> <div style="display: flex; justify-content: space-between;"> <span>Date:</span> <span></span> </div>	<div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="display: flex; justify-content: space-between;"> <span>Main Applicant/ Authorised Signatories</span> <span>Joint Applicant (if applicable)</span> </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"></div> <div style="display: flex; justify-content: space-between;"> <span>Company Stamp (if applicable)</span> <span></span> </div> <div style="display: flex; justify-content: space-between;"> <span>Date:</span> <span></span> </div>		

## NOTES:

1. Where a transfer is executed outside Singapore, the signatures must be attested to by a Notary Public.
2. The following documents of the transferee must be received before the transfer can be effected:
 

- For Corporate	Certified true copy the memorandum & articles of association/by-laws, certificate of incorporation, business licence (if any), board resolution, list authorised signatories and their specimen signatures, copies of ID/ Passport of authorised signatories and directors, and any relevant document as requested by the Company and/or its appointed agents. (Certified true copy refers to certification of authenticity by qualified person(s) such as lawyers, accountants, notary public, etc.)
- For Individual	Certified true copy of identification document e.g. NRIC or passport with photograph; and documentary evidence of residential address (e.g. original or certified true copy of utility bill),
3. Where joint-transferees opt for single signatory requirement the Company and/or its appointed agent will act or rely on any requests for redemption including payment instructions, and instructions with respect to payment or reinvestment of dividends or other instructions of any kind whatsoever relating to the unit holdings if such instructions have been signed by either of the signatories.